## Two Cultures of AI: Should you trust ML or ML?

Philip Wadler
University of Edinburgh / IOG
Lambda Days, 28 May 2024

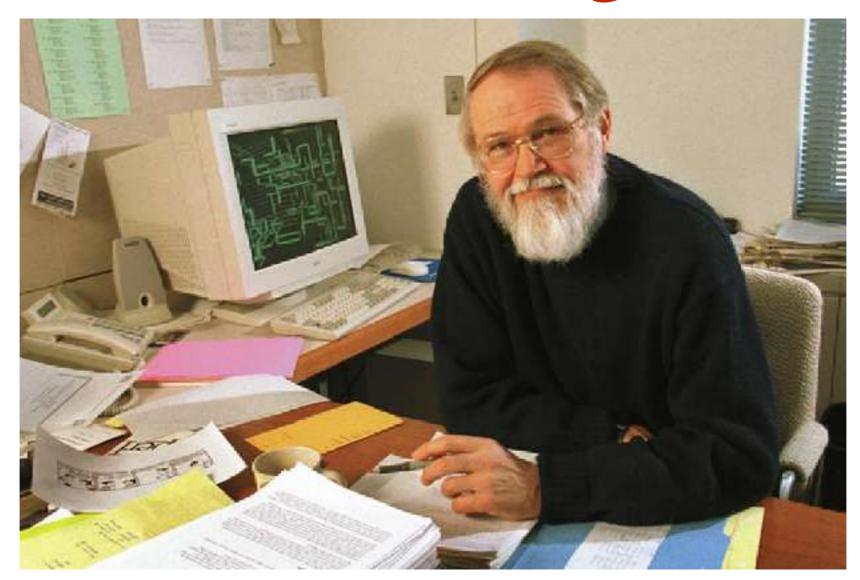
### Stochastic Parrots

### Joseph Weizenbaum



Eliza, 1966

## Brian Kernighan



Markov Chains, 1978

#### Attention Is All You Need

Ashish Vaswani\* Google Brain avaswani@google.com Noam Shazeer\*
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31st Conference on Neural Information Processing Systems (NIPS 2017), Long Beach, CA, USA.

#### What street in Krakow is known for its shops that sell amber jewelry?







All

Products

Images

Maps

News

: More

Tools

#### Places :



#### Amber Jewellery III s.c. Suchodolska D., Matusia...

5.0 \* \* \* \* \* \* (2) · Jewelry store

150.0 m · plac Mariacki 9 - 12 422 13 59

Closes soon - 6:30 PM

"They have different kind of amber jewelry in many colors and designs."



#### World of Amber - Jubiler Schubert

3.9 \* \* \* \* (24) - Jewelry store

270.0 m · 33-382, Grodzka 38 · 503 986 472

Open - Closes 8 PM

"If you are shopping for high quality amber jewelry this is your spot."



#### Mikołajczyki Amber Group

4.1 \* \* \* \* \* (15) · Jewelry store

300.0 m · Rynek Główny 1/3, Sukiennice · 12 423 10 81

Open - Closes 8PM

More places →



0

#### What is the main shopping street in Krakow?

#### Florianska

Florianska: It is considered the most important shopping thoroughfare in Kraków. It runs from the east of the Main Market Square to St Florian's Gate. Grodzka: Grodzka Street is one of the best places to buy souvenirs, as well as the Cloths Hall in the center of the Main Square.



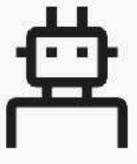




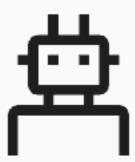
What street in Krakow is known for its shops that sell amber jewelry?



Floriańska Street in Krakow is well-known for its shops that sell amber jewelry. This historic street, located in the Old Town, is lined with various boutiques and jewelers offering exquisite amber pieces.



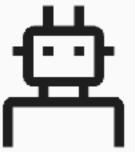
ELM uses Generative AI which carries with it potential ethical concerns and risks. These might include e.g. the creation of deepfakes, the possibility of privacy violation, the spread of disinformation, the generation of fallacious or misleading content and discriminatory or biased outputs.



It is therefore important to **retain a critical perspective when conducting chats with ELM.** Please use ELM responsibly.



Please refer to our Al Guidance



### Bullshit, verb (OED)

coarse slang.

transitive and intransitive, to talk nonsense (to); =  $\frac{bull}{v}$ . 3; also, to bluff one's way through (something) by talking nonsense.

- 1942 Talk nonsense,.. bull-shit.

  L. V. Berrey & M. Van den Bark, American Thesaurus of Slang §151/6 ....
- 1948 Wot are the books ov the bible? Name 'em, don't bullshit ME.

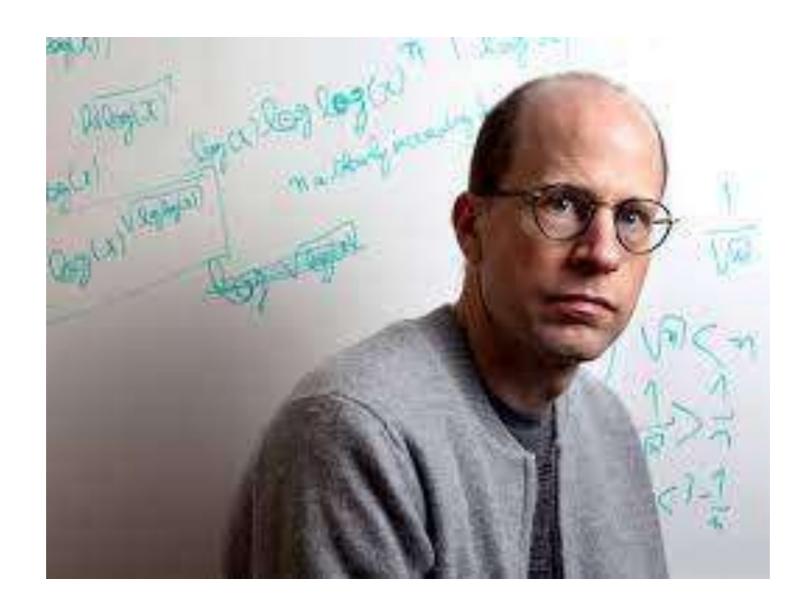
  E. Pound, Pisan Cantos Ixxiv. 8 ---
- Never tell a lie when you can bullshit your way through.

  E. Ambler, Dirty Story i. iii. 25
- 1969 Please, let us not bullshit one another about 'love' and its duration.

  P. Roth, Portnoy's Complaint 105

# Is AI an Existential Threat?

### Nick Bostrom



Paper-clip Maximiser, 2003

Mitigating the risk of extinction from AI should be a global priority alongside other societal-scale risks such as pandemics and nuclear war.

#### Signatories:



**Al Scientists** 



Other Notable Figures

#### **Geoffrey Hinton**

Emeritus Professor of Computer Science, University of Toronto

#### Yoshua Bengio

Professor of Computer Science, U. Montreal / Mila

#### **Demis Hassabis**

CEO, Google DeepMind

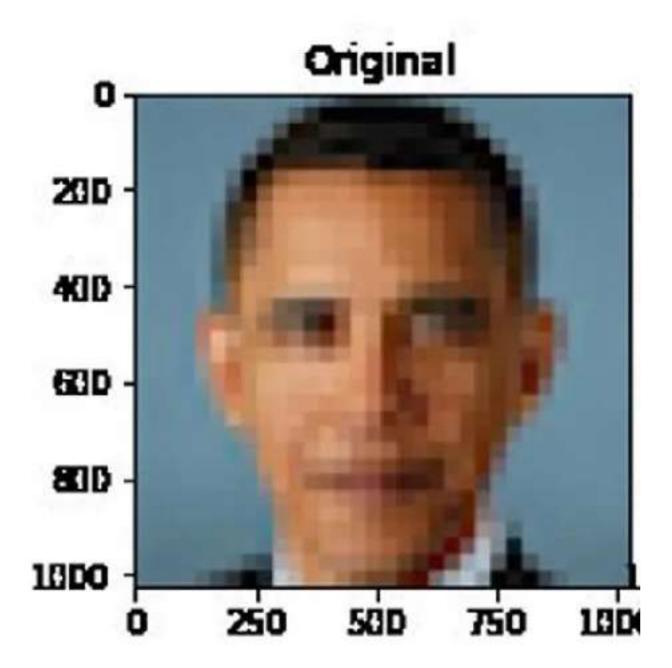
#### Sam Altman

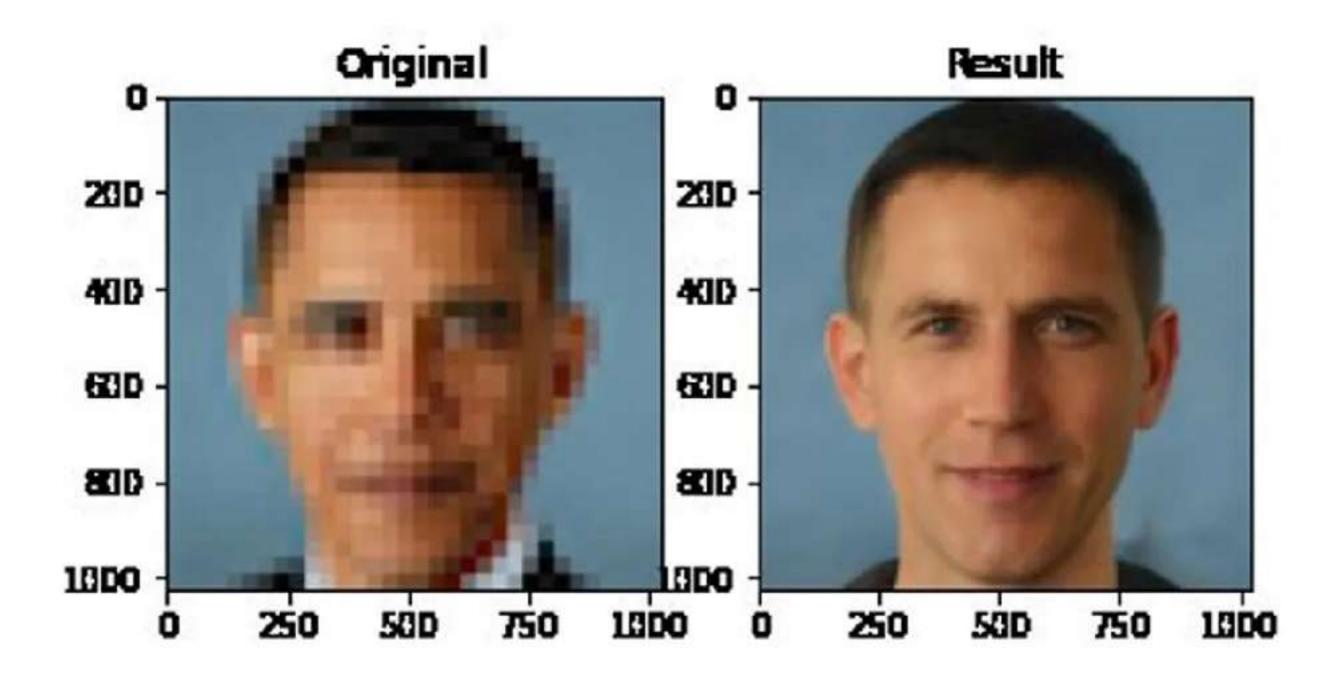
CEO, OpenAl

# Is AI an Existential Threat?

### AI is a Threat

## Algorithmic Bias





# Deepfakes





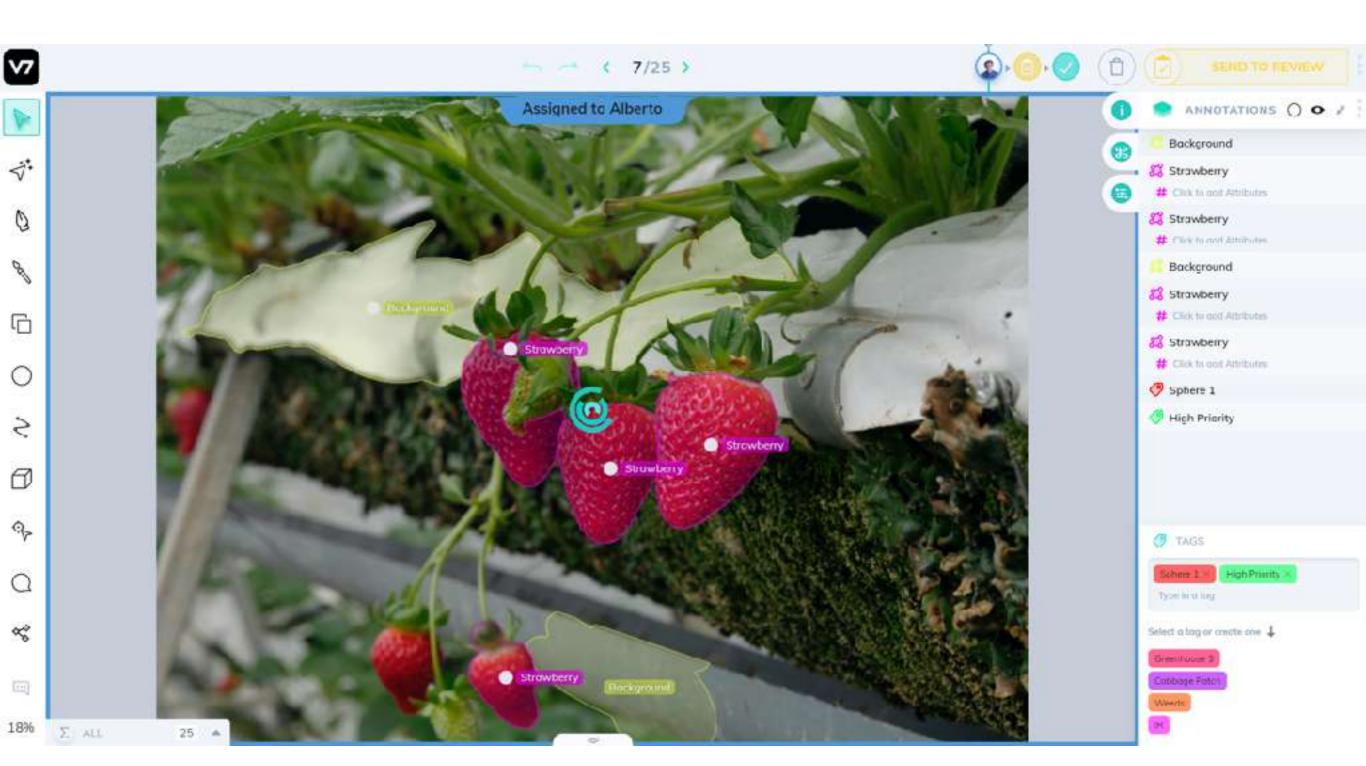
# Misinformation (plus Deepfakes)



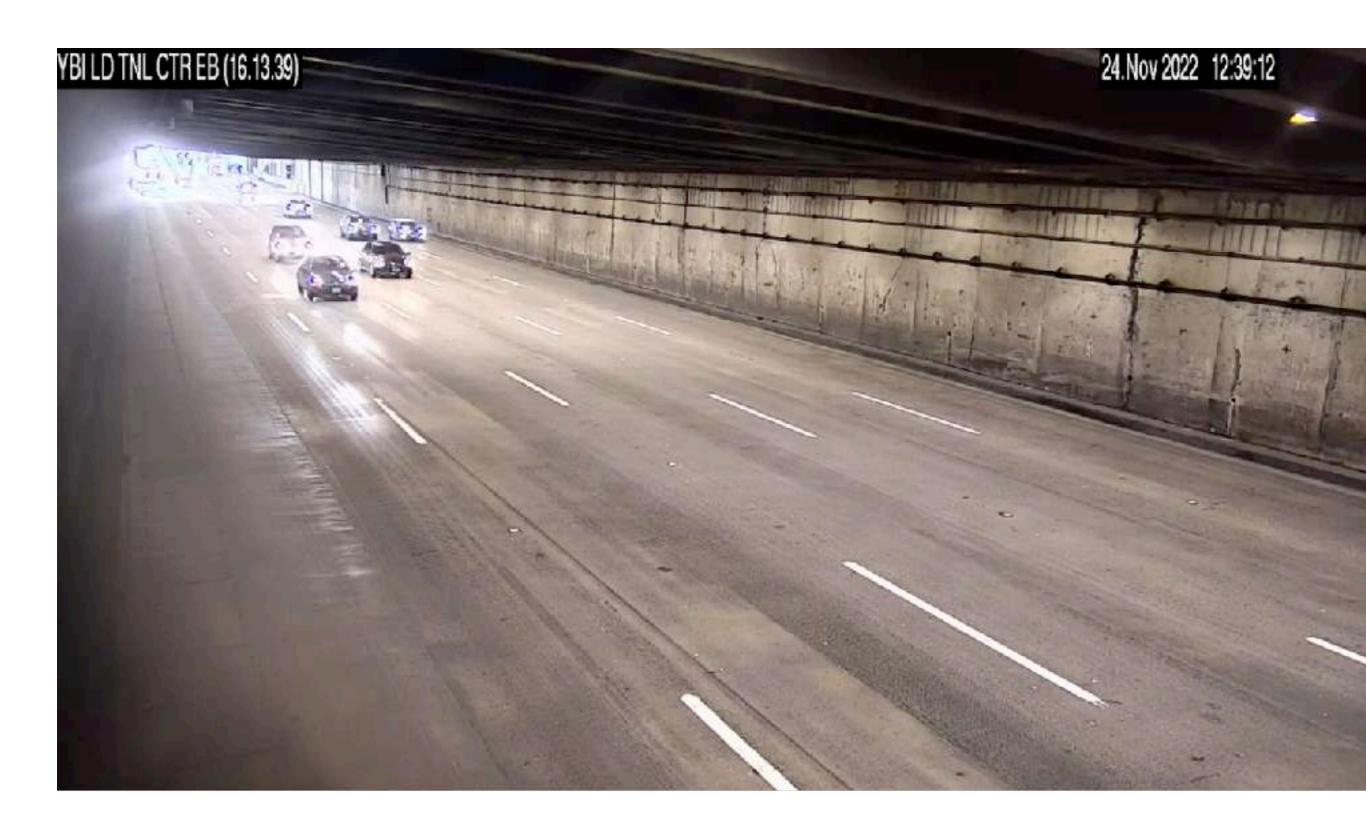
### Data Colonialism





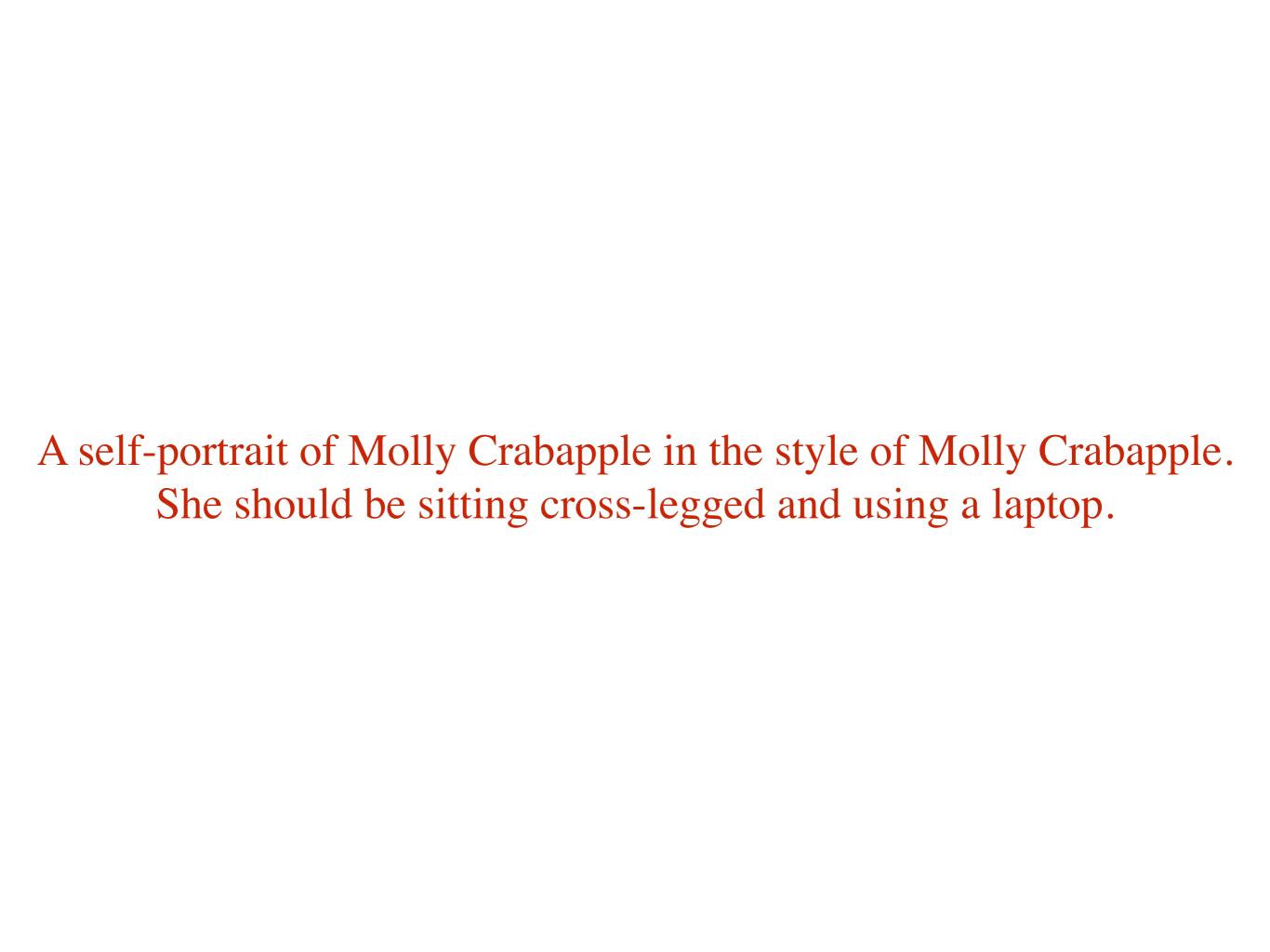


# Self-driving Cars (Tesla, Thanksgiving 2022)





# "Daylight Robbery"







## An author says AI is 'writing' unauthorized books being sold under her name on Amazon



By Clare Duffy, CNN

2 4 minute read - Published 10:03 AM EDT, Thu August 10, 2023

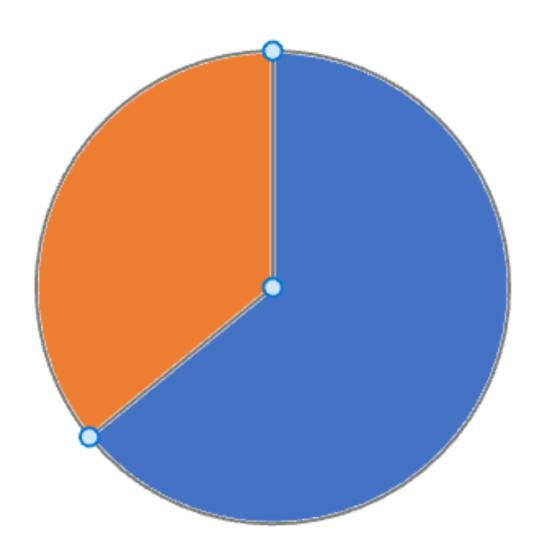


Author Jane Friedman found several books being sold under her name on Amazon, only she cidn't write them — she suspects artificial intelligence did. Amazon removed the books after she alerted the company to the issue. Courtesy Jane Friedman

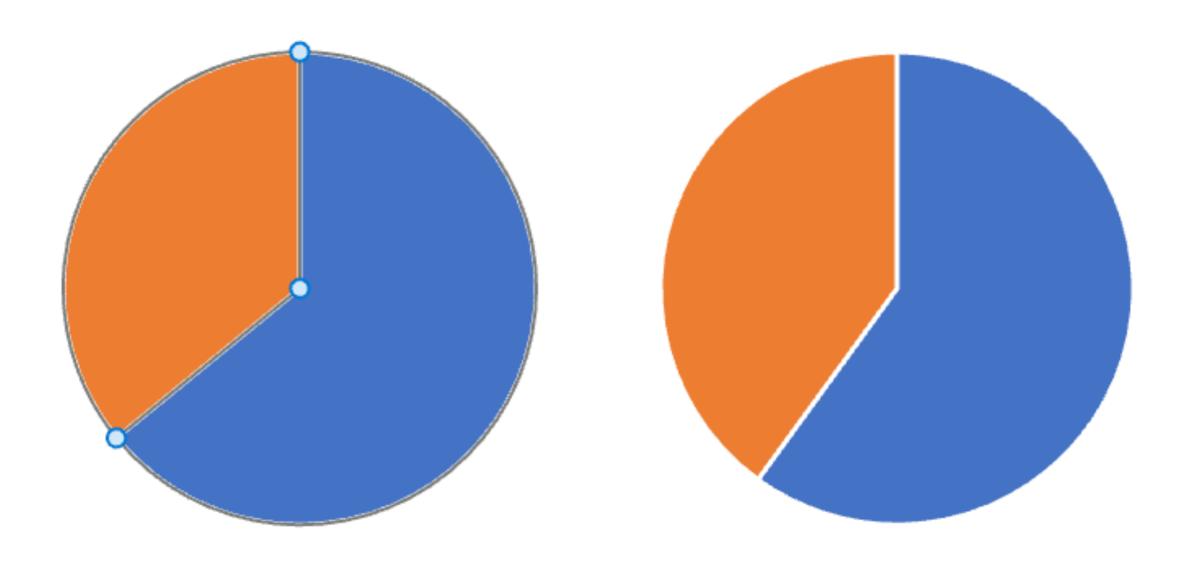
# Copilot



# Productivity



# Productivity Vulnerability



# AI and I

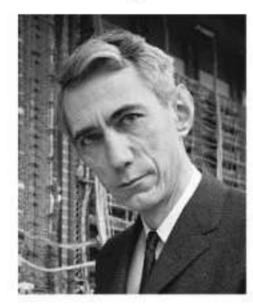
## 1956 Dartmouth Conference: The Founding Fathers of AI



John MacCarthy



**Marvin Minsky** 



Claude Shannon



Ray Solomonoff



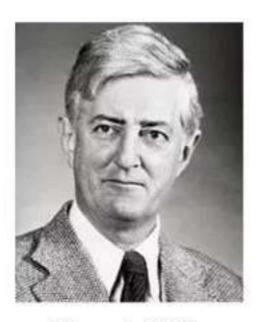
Alan Newell



**Herbert Simon** 



**Arthur Samuel** 



Oliver Selfridge



**Nathaniel Rochester** 



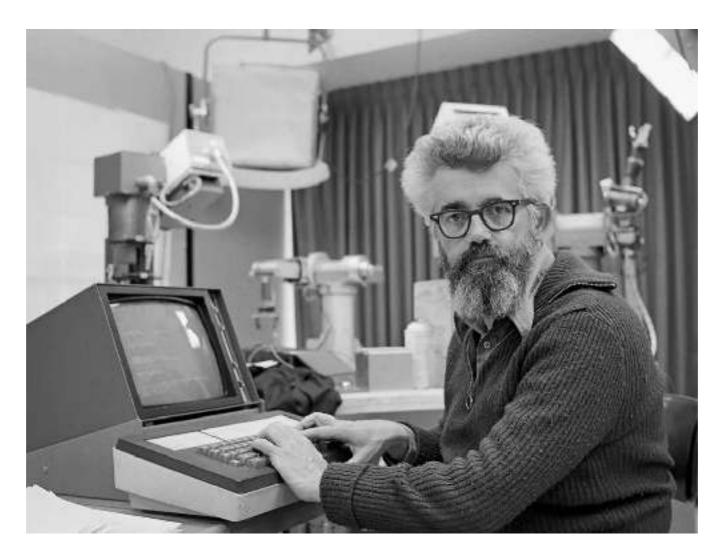
**Trenchard More** 

# Arthur Samuel



Machine Learning (ML)
1959

# John McCarthy



Lisp 1960

## CORRECTNESS OF A COMPILER FOR ARITHMETIC EXPRESSIONS\*

JOHN McCARTHY and JAMES PAINTER 1967

## 1 Introduction

This paper contains a proof of the correctness of a simple compiling algorithm for compiling arithmetic expressions into machine language.

The definition of correctness, the formalism used to express the description of source language, object language and compiler, and the methods of proof are all intended to serve as prototypes for the more complicated task of proving the correctness of usable compilers. The ultimate goal, as outlined in reference [1], [2], [3] and [4] is to make it possible to use a computer to check proofs that compilers are correct.

The concepts of abstract syntax, state vector, the use of an interpreter for defining the semantics of a programming language, and the definition of correctness of a compiler are all the same as in [3]. The present paper, however is the first in which the correctness of a compiler is proved.

The expressions dealt with in this paper are formed from constants and variables. The only operation allowed is a binary + although no change in method would be required to include any other binary operations. An example of an expression that can be compiled is

$$(x+3)+(x+(y+2))$$

although, because we use abstract syntax, no commitment to a particular notation is made.

The computer language into which these expressions are compiled is a single address computer with an accumulator, called ac, and four instructions: li (load immediate), load, sto (store) and add. Note that there are no jump instructions. Needless to say, this is a severe restriction on the generality of our results which we shall overcome in future work.

The compiler produces code that computes the value of the expression being compiled and leaves this value in the accumulator. The above expression is compiled into code which in assembly language might look as follows:

load	22
eto	t
li	3
cdd	t
sto	t
load	Z.
sto	t+1
load	y
sto	l+2
li	2
cdd	t+2
add	t+1
add	t

Again because we are using abstract syntax there is no commitment to a precise form for the object code.

## 2 The source language

The abstract analytic syntax of the source expressions is given by the table:

predicate	associated functions
isconst(e)	
isvar(e)	
issum(e)	s1(e) s2(e)

which asserts that the expressions comprise constants, variables and binary sums, that the predicates isconst, isvar, and issum enable one to classify each expression and that each sum e has summands s1(e) and s2(e).

<sup>&</sup>quot;This is a reprint with minor changes of "Correctness of a Compiler for Arithmetic Expressions" by John McCarthy and James Painter which was published in MATHEMATICAI ASPECTS OF COMPUTER SCIENCE 1, which was Volume 19 of Proceedings of Symposis in Applied Mathematics and published by the American Mathematical Society in 1967

# Robin Milner



Meta Language (ML) 1979

# Xavier Leroy



CompCert 2006



# Formal Verification of a Realistic Compiler

By Xavier Leroy

#### Abstract

This paper reports on the development and formal veritication (proof of semantic preservation) of CompCert, a compiler from Clight (a large subset of the C programming language) to PowerPC assembly code, using the Coq proof assistant both for programming the compiler and for proving its correctness. Such a verified compiler is useful in the context of critical software and its formal verification, the verification of the compiler guarantees that the safety properties proved on the source code hold for the executable compiled code as well.

#### 1. INTRODUCTION

Can you trust your compiler? Compilers are generally assumed to be semantically transparent: the compiled code should behave as prescribed by the semantics of the source program. Yet, compilers—and especially optimizing compilers—are complex programs that perform complicated symbolic transformations. Despite intensive testing, bugs in compilers do occur, causing the compilers to crash at compile-time or—much worse—to silently generate an incorrect executable for a correct source program

For low-assurance software, validated only by testing, the impact of complier bugs is low; what is tested is the executable code produced by the compiler; rigorous testing should expose compiler-introduced errors along with errors already present in the source program. Note, however, that compiler-introduced bugs are notoriously difficult to expose and track down. The picture changes dmmatically for safetycritical, high-assurance software. Here, validation by testing reaches its limits and needs to be complemented or even replaced by the use of formal methods such as model checking, static analysis, and program proof. Almost universally, these formal verification tools are applied to the source code of a program. Bugs in the compiler used to turn this formally verified source code into an executable can potentially invalidate all the guarantees so painfully obtained by the use of formal methods. In future, where formal methods are routinely applied to source programs, the compiler could appear as a weak link in the chain that goes from specifications to executables. The safety-critical software industry is aware of these issues and uses a variety of techniques to alleviate them, such as conducting manual code reviews of the generated assembly code after having turned all compiler optimizations off. These techniques do not fully address the issues, and are costly in terms of development time and program performance.

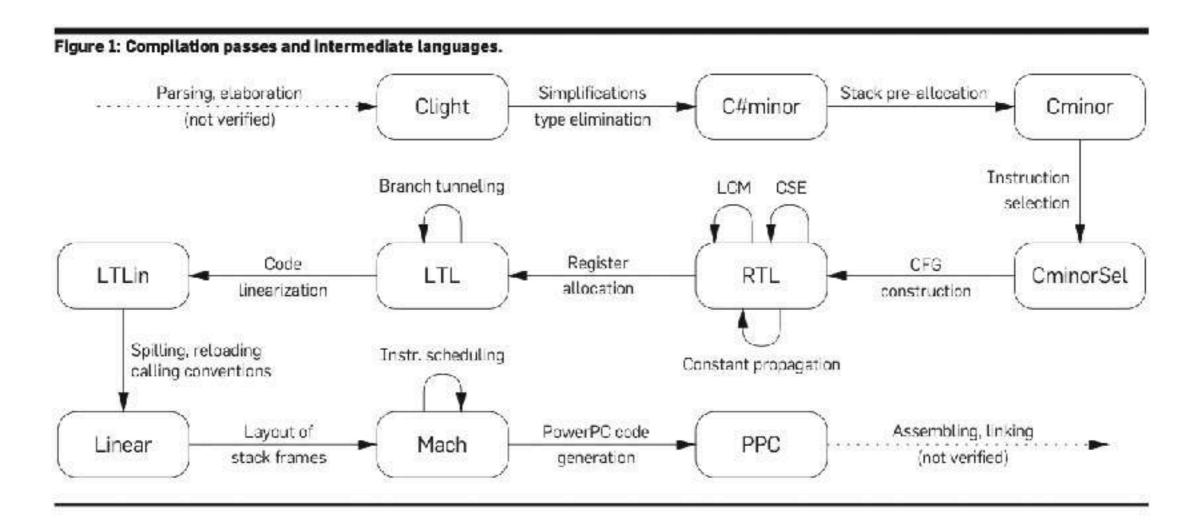
An obviously better approach is to apply formal methods to the compiler itself in order to gain assurance that it preserves the semantics of the source programs. For the last 5 years, we have been working on the development of a realistic, verified compiler called CompCert. By verified, we mean a compiler that is accompanied by a machine-checked proof of a semantic preservation property; the generated machine code behaves as prescribed by the semantics of the source program. By realistic, we mean a compiler that could realistically be used in the context of production of critical software. Namely, it compiles a language commonly used for critical embedded software: neither Java nor ML nor assembly code, but a large subset of the C language. It produces code for a processor commonly used in embedded systems: we chose the PowerPC because it is popular in avionics. Finally, the compiler must generate code that is efficient enough and compact enough to fit the requirements of critical embedded systems. This implies a multipass compiler that features good register allocation and some basic optimizations.

Proving the correctness of a compiler is by no ways a new idea; the first such proof was published in 1967<sup>16</sup> (for the compilation of arithmetic expressions down to stack machine code) and mechanically verified in 1972.<sup>17</sup> Since then, many other proofs have been conducted, ranging from single-pass compilers for try languages to sophisticated code optimizations.<sup>1</sup> in the CompCert experiment, we carry this line of work all the way to end-to-end verification of a complete compilation chain from a structured imperative language down to assembly code through eight intermediate languages. While conducting the verification of CompCert, we found that many of the nonoptimizing translations performed, while often considered obvious in the compiler literature, are surprisingly tricky to formally prove correct.

This paper gives a high-level overview of the CompCert compiler and its mechanized verification, which uses the Coq proof assistant. This compiler, classically, consists of two parts: a front-end translating the Clight subset of C to a low-level, structured intermediate language called Cminor, and a lightly optimizing back-end generating PowerPC assembly code from Cminor. A detailed description of Clight can be found in Elazy and Leroy\*, of the compiler front-end in Blazy et al.\*, and of the compiler back end in Leroy. The complete source code of the Coq development, extensively commented, is available on the Web. 12

The remainder of this paper is organized as follows. Section 2 compares and formalizes several approaches to establishing trust in the results of compilation. Section 3

A previous version of this paper was published in Proceedings of the 33rd Symposium on the Principles of Programming Languages. ACM, NY, 2006.



# Gerwin Klein, June Andronick, & Gernot Heiser









SeL4 2010



## seL4: Formal Verification of an Operating-System Kernel

By Gerwin Klein, June Andronick, Kevin Elphinstone, Gernot Heiser, David Cock, Philip Derrin, Dhammika Elkaduwe, Kai Engelhardt, Rafal Kolanski, Michael Norrish, Thomas Sewell, Harvey Tuch, and Simon Winwood

#### Abstract

We report on the formal, machine-checked verification of the seL4 microkernel from an abstract specification down to its C implementation. We assume correctness of compiler, assembly code, hardware, and boot code.

sc14 is a third-generation microkernel of 14 provenance, comprising 8700 lines of C and 600 lines of assembler. Its performance is comparable to other high-performance 14 kernels.

We prove that the implementation always strictly follows our high-level abstract specification of kernel behavior. This encompasses traditional design and implementation safety properties such as that the kernel will never crash, and it will never perform an unsafe operation. It also implies much more: we can predict precisely how the kernel will behave in every possible situation.

### 1. INTRODUCTION

Almost every paper on formal verification starts with the observation that software complexity is increasing, that this leads to errors, and that this is a problem for mission and safety critical software. We agree, as do most.

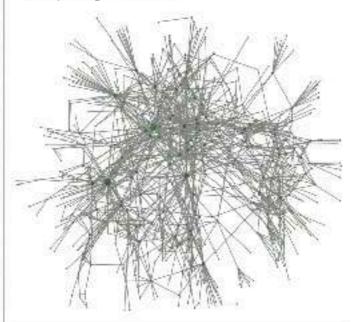
Here, we report on the full formal verification of a critical system from a high-level model down to very low-level C code. We do not pretend that this solves all of the software complexity or error problems. We do think that our approach will work for similar systems. The main message we wish to convey is that a formally verified commercialgrade, general-purpose microkernel now exists, and that formal verification is possible and feasible on code sizes of about 10,000 lines of C. It is not cheap; we spent significant effort on the verification, but it appears cost-effective and more affordable than other methods that achieve lower degrees of trustworthiness.

To build a truly trustworthy system, one needs to start at the operating system (OS) and the most critical part of the OS is its kernel. The kernel is defined as the software that executes in the privileged mode of the hardware, meaning that there can be no protection from faults occurring in the kernel, and every single bug can potentially cause arbitrary damage. The kernel is a mandatory part of a system's trusted computing base (TCB)—the part of the system that can bypass security. If Minimizing this TCB is the core concept behind microkernels, an idea that goes back 40 years.

A microkernel, as opposed to the more traditional monolithic design of contemporary mainstream OS kernels, is reduced to just the bare minimum of code wrapping hardware mechanisms and needing to run in privileged mode. All OS services are then implemented as normal programs, running entirely in (unprivileged) user mode, and therefore can potentially be excluded from the TCB. Previous implementations of microkernels resulted in communication overheads that made them unattractive compared to monolithic kernels. Modern design and implementation techniques have managed to reduced this overhead to very competitive limits.

A microkernel makes the trustworthiness problem more tractable. A well-designed high-performance microkernel, such as the various representatives of the L4 microkernel family, consists of the order of 10,000 lines of code (10 kloc). This radical reduction to a bare minimum comes with a price in complexity. It results in a high degree of interdependency between different parts of the kernel, as indicated in Figure 1. Despite this increased complexity in low-level code, we have demonstrated that with modern techniques and careful design, an OS microkernel is entirely within the realm of full formal verification.

Figure 1. Call graph of the set 4 microkernet. Vertices represent functions, and edges invocations.



The original version of this paper was published in the Proceedings of the 22nd ACM SIGOPS Symposium on Operating Systems Principles, Oct. 2009.

## Figure 3. Isabelle/HOL code for scheduler at abstract level.

```
schedule = do
  threads ← all_active_tcbs;
  thread ← select threads;
  switch_to_thread thread
od OR switch_to_idle_thread
```

## Figure 4. Haskell code for schedule.

```
schedule = do
  action <- getSchedulerAction
  case action of
    ChooseNewThread -> do
      chooseThread
      setSchedulerAction ResumeCurrentThread
chooseThread = do
    r <- findN chooseThread' (reverse [minBound ...
    maxBound])
    when (r == Nothing) $ switchToIdleThread
chooseThread' prio = do
     q <- getQueue prio
     liftM isJust $ findM chooseThread" q
chooseThread" thread = do
     runnable <- isRunnable thread
     if not runnable then do
             tcbSchedDequeue thread
             return False
     else do
             switchToThread thread
             return True
```

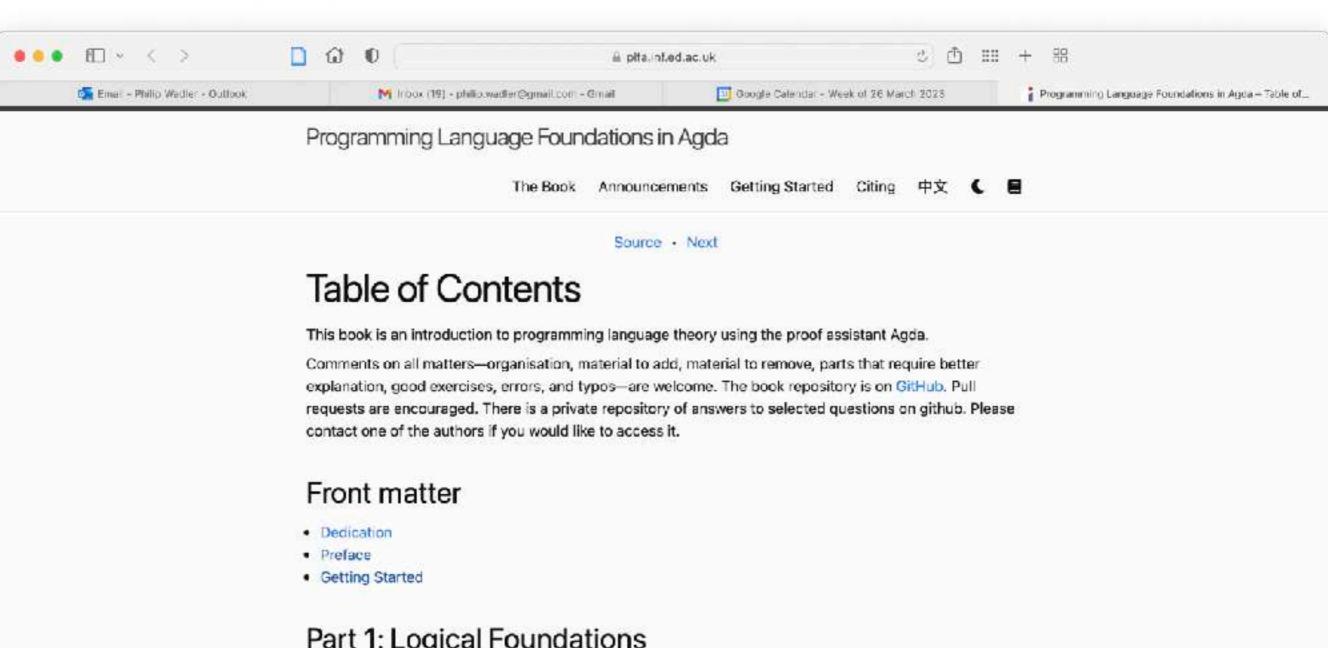
## Figure 5. C code for part of the scheduler.

# Catarina Coquand and Ulf Norell





Agda
2000



## Part 1: Logical Foundations

- Naturals: Natural numbers
- Induction: Proof by Induction
- Relations: Inductive definition of relations
- Equality: Equality and equational reasoning
- Isomorphism: Isomorphism and Embedding
- Connectives: Conjunction, disjunction, and implication
- Negation: Negation, with intuitionistic and classical logic
- Quantifiers: Universals and existentials

# Agda and ChatGPT



Use Agda to show that addition is associative. Your program should be self contained. Don't forget to import the definition of equality.



Sure, here's an implementation of the proof in Agda:



```
Copy code
sql
module Associativity where
open import Relation.Binary.PropositionalEquality
-- Define natural numbers
data N : Set where
 zero : N
 suc : N + N
-- Define addition
_+_ : N + N + N
zero + n = n
(suc m) + n = suc (m + n)
-- Define associativity proof
associativity: \forall (a b c : N) \Rightarrow (a + b) + c \equiv a + (b + c)
associativity zero b c = refl
associativity (suc a) b c = cong suc (associativity a b c)
-- Test it out with some examples
test1: (0 + 1) + 2 = 0 + (1 + 2)
test1 = associativity 0 1 2
test2: (2 + 3) + 4 = 2 + (3 + 4)
test2 = associativity 2 3 4
```



Why do you invoke lemma2 in the first line of the suc case? The equality you want follows definitionally. Can you correct the proof?



You're right, thank you for pointing that out! We don't actually need to use `lemma2` in the first line of the `suc` case, since the equality we need follows definitionally.

Here's the corrected proof:

```
Copy code
less
module Commutativity where
open import Data. Nat
open import Relation.Binary.PropositionalEquality
open import Relation.Binary.EqReasoning
-- Define the lemmas
lemma1 : \forall n \rightarrow n + \emptyset \equiv n
lemma1 zero = refl
lemma1 (suc n) = cong suc (lemma1 n)
lemma2 : \forall a b \rightarrow a + (suc b) \equiv suc (a + b)
lemma2 zero b = refl
lemma2 (suc a) b = cong suc (lemma2 a b)
-- Define the property to be proved
commutativity : \forall a b \rightarrow a + b \equiv b + a
commutativity zero b = begin
                       ≡( lemma1 b )
  0 + b
                       ≡( sym (lemma1 b) )
  b
  b + 0
                        П
commutativity (suc a) b = begin
  (suc a) + b
                       ≡( lemma2 a b )
  suc (a + b)
                       ≡ ( cong suc (commutativity a b) }
  suc (b + a)
                       ≡( sym (lemma2 b a) )
  b + (suc a)
```



# THE CABARET OF DANGEROUS IDEAS: BAFFLED ARCHAEOLOGISTS AND BULLSHIT BOTS

## STAND 5

Doors: 12:10

( ) Show time: 12:20 - 13:20

Duration: 60 mins

**TICKETS AND INFO** 





## **BULLSHIT BOTS**

## STAND 5

Doors: 12:10

Show time: 12:20 - 13:20

Duration: 60 mins

**TICKETS AND INFO** 

# ChatGPT is Bullshit

Philip Wadler
University of Edinburgh / IOG
Lambda Days, 28 May 2024